

**NICOLE**

Network for Industrially Co-ordinated Sustainable Land Management in Europe



# Balancing the precautionary principle with a proportionate response

*International workshop on Emerging policy challenges on New SOil contaminants (ENSOr)*

Brussels – November 29, 2018  
by Johan De Fraye – Chair of NICOLE



# What is NICOLE?

## **NICOLE is**

- a unique network in Europe, linking contaminated land management professionals from the industry, service providers and academics
- a leading organisation in the development and promotion of state-of-the-art solutions for contaminated land management



# NICOLE'S Objectives

To provide a European forum for the **exchange of knowledge and ideas** about contaminated land management - share best practice.

Exchange  
Knowledge

Influencing  
European  
Regulation

To **communicate** with stakeholders **inside** and **outside Europe** to promote NICOLE's views.

Promoting  
Collaborative  
Research

To **identify** research needs and **promote** collaborative research that will enable its members to identify, assess and manage contaminated sites more efficiently within a framework of sustainability.

# Challenges from **new** soil contaminants

Several working groups:

Working Group on emerging contaminants: recognised some of the major challenges are from substances we have known about for a long time but not fully understood their effects.

What does this mean for NICOLE?

Working groups have included:

- Approach to remediation of **mercury**, with a booklet published describing the best technology
- Approach to dealing with **asbestos** in the environment – on-going
- **PFAS/PFOS** and the challenges posed by publication of the US Health Advisory Standard at 70 nanogram/l for drinking water
- Regulatory Working Group, which monitors EU legislation and its transposition into Member States.



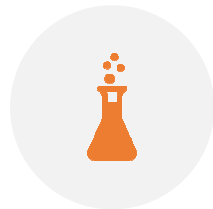
## How “new” is a new soil contaminant?

- Recently created/discovered substance that is being brought into use
- New understanding of the toxicology or persistence of a known substance
- Change to a regulatory standard i.e. a decrease in a Drinking Water Standard in response to evolving science
- Different route of exposure to a known substance

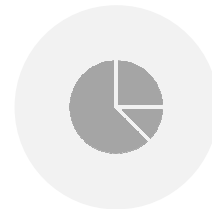


# New substances: identification

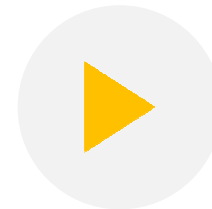
## EU watch list process



COMPOUNDS WHICH NEED FURTHER MONITORING BEFORE POSSIBLE LISTING AS A PRIORITY SUBSTANCE OR A PRIORITY HAZARDOUS SUBSTANCE PER THE ENVIRONMENTAL QUALITY STANDARDS DIRECTIVE



LIST OF 10 (GROUPS OF) SUBSTANCES FOR WHICH EU-WIDE MONITORING DATA ARE TO BE GATHERED



THE SELECTED SUBSTANCES POSE A SIGNIFICANT RISK AT EU LEVEL TO/VIA THE AQUATIC ENVIRONMENT, BUT MONITORING DATA ARE INSUFFICIENT FOR ASSESSING THE ACTUAL RISK



UPDATED EVERY 2 YEARS (NEXT UPDATE 2019)



ONE SUBSTANCE CAN BE ADDED WITH EVERY UPDATE, UP TO 14 (GROUPS OF) SUBSTANCES



DURATION OF A CONTINUOUS WATCH LIST MONITORING PERIOD FOR ANY INDIVIDUAL SUBSTANCE SHALL NOT EXCEED 4 YEARS



# New substances – other triggers

- Health monitoring and epidemiology studies
- Public and private sector research
- NGO concerns
- Long term monitoring identifying persistence over time
- REACH requirements





# New knowledge of toxicology and persistence – PFAS

- In use for some tens of years
- Recently seen to be a possible risk to health – triggers such as change in US health advisory level have caused river water to suddenly become unacceptable as drinking water source.
- So is the substance new, or is it the health advisory value which is new?





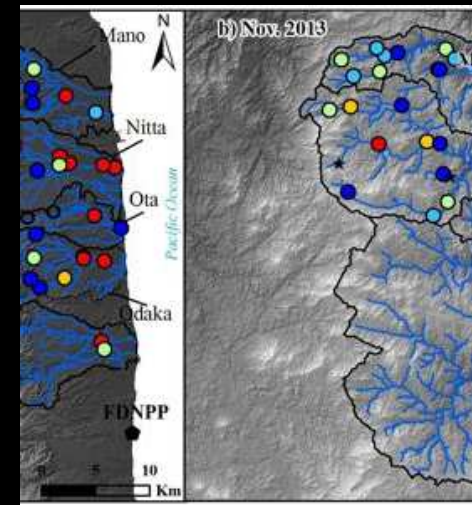
## New regulatory standards – asbestos in soil



- Known problem
- No Europe wide proportionate approach - Regulation minimal in some countries, and very prescriptive in others.
- Need for new and balanced way to manage residual exposure.



# Challenges when dealing with “new” soil pollutants



- Human and ecological toxicity
- Dispersion and transportation
- Inclusion in standard monitoring
- Liability
- Treatment technology
- Importance and perception of impact



# What does the precautionary principle entail in the context of soil pollution?

- actions on pollutants under uncertainty
- social responsibility to protect the public
- used to justify discretionary decisions





# The precautionary principle is mainstream



- Principle 15 of the Rio Declaration: "In order to protect the environment, the ***precautionary approach*** shall be widely applied by States according to their capabilities. Where there are threats of serious or irreversible damage, lack of full scientific certainty shall not be used as a reason for postponing ***cost-effective*** measures to prevent environmental degradation."



- EC Communication from 2000: "Union policy on the environment shall aim at a high level of protection ... It shall be based on the ***precautionary principle*** and on the principles that preventive action should be taken, that environmental damage should as a priority be rectified at source and that the ***polluter should pay.***"



## Common arguments against the precautionary principle

- Sometimes vaguely formulated
- Not necessarily science based
- Sometimes driven by ambiguity aversion bias
- May stifle innovation in new technologies and approaches
- Strong formulation, without distinction between high and low risk, may lead to disproportionate response.

*The PP should embrace our understanding of risk and deliver a proportionate response.*



# What is a proportionate response?

- 
- Decision based on 4 key principles\*
    - *Legitimacy*: a legal obligation to assess soil impacts, who is liable to mitigate risks
    - *Suitability*: does the mitigation effectively mitigate risk and does it take into account the impacts to society and environment?
    - *Necessity*: is the mitigation there an equally effective alternative?
    - *Reasonableness*: is the mitigation effective, is it viable with acceptable impact to society?
  - Proportionate - synonyms: fair, commensurate, balanced, not retro-active, not at any cost, risk-based

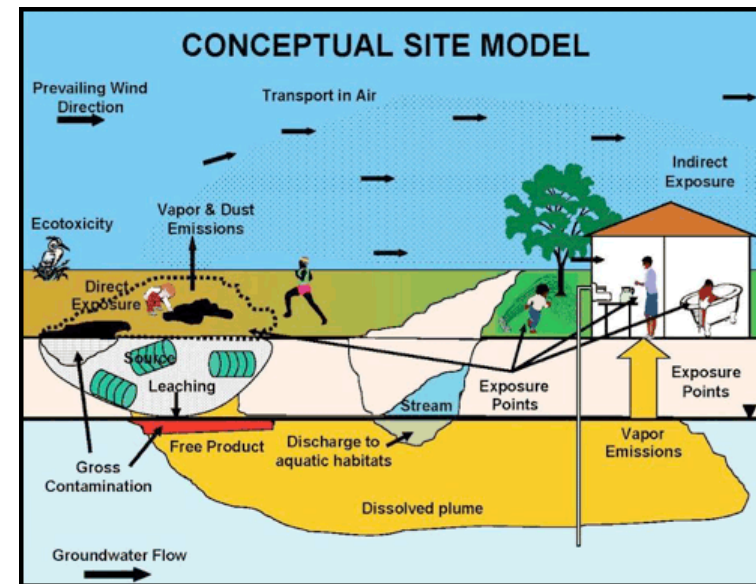


# Current practice marries the precautionary principle with a proportionate response

Essential combination of source – pathway – receptor

Inherent conservatism incorporates precautionary principle:

- Initial contaminant concentrations
- Physico-chemical constants to describe the kinetics of contaminant transport
- Exposure frequency of humans
- Human contact (uptake) rates for alternative exposure pathways
- Bioavailability fractions (e.g., absorption rates through the skin)
- Dose-response parameters and models

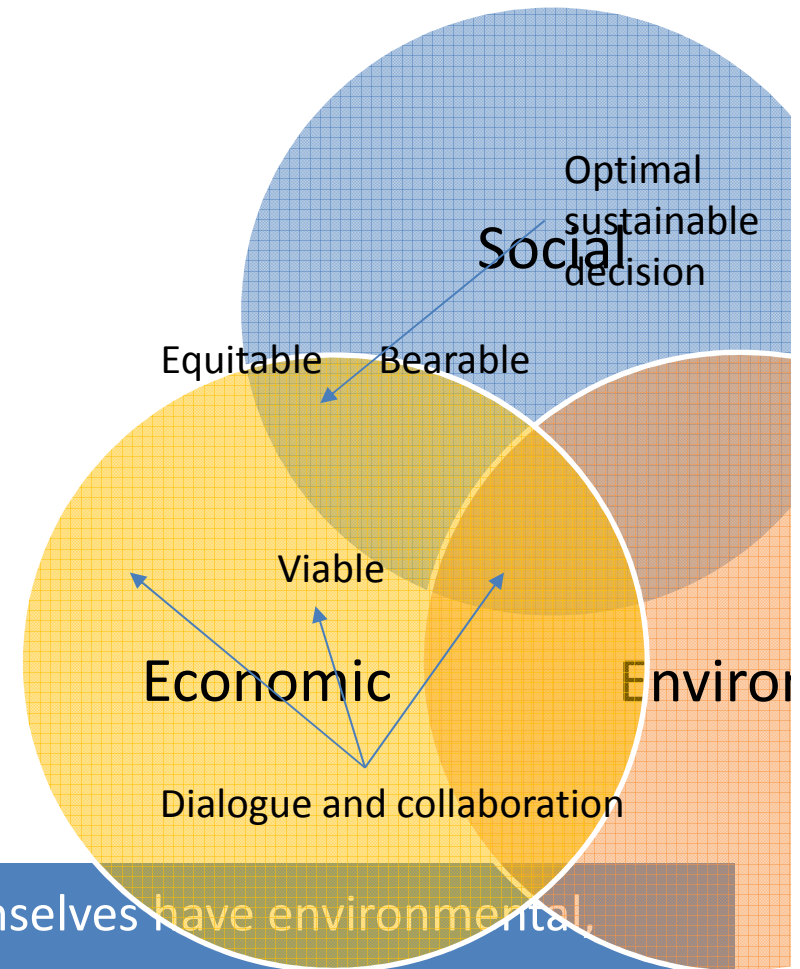


[itrcweb.org](http://itrcweb.org)



# Enhancing current practice through sustainability thinking

- Protection of human health and the environment is paramount
- Efficient use of environmental, social and economic resources leads to balanced remediation solution
- Maximise the overall benefit through transparent decision-making process
- Stakeholder engagement crucial to define project-specific objectives and collate feedback



Recognition that remediation activities themselves have environmental, social and economic benefits and impacts.



# Case 1: Phytoremediation of 1,4-dioxane

## Specifics

- Operational site
- No human health risk
- Few technical possibilities
- Sound technical solution
- Communication with stakeholders including flyer, news paper, TV footage
- Detailed sampling and monitoring plan



## Solution

- Trees take up contaminant from groundwater
- In leaves contaminant is degraded by sun light

## Benefits

- Long term sustainable solution
  - Mitigates spreading risks
  - Carbon capture in trees
  - Zero CO<sub>2</sub> emission
  - No energy consumption
  - No engineered water treatment
  - Visually attractive
  - Intrinsically safe



## Case 2: Former tar works remediation – UK



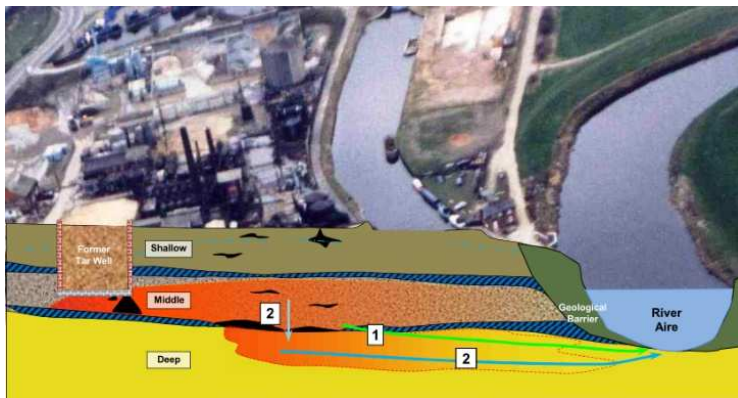
### Specifics

- Tar distillation resulting in DNAPL
- More than 100 years of operation
- Complex layered aquifer
- Risk of dissolved phase entering adjacent River.

### Solution

Worked with regulators (Environment Agency) and wider stakeholders

- selection of appropriate end use of site
- selection of remedial strategy
- acceptable residual levels
- defining the end point for NAPL extraction





## Case 3: Starting out at the planning stage

### Specifics

- Remediation technically difficult to implement in the dock and land.
- Activities could disturb and release contamination to the water environment

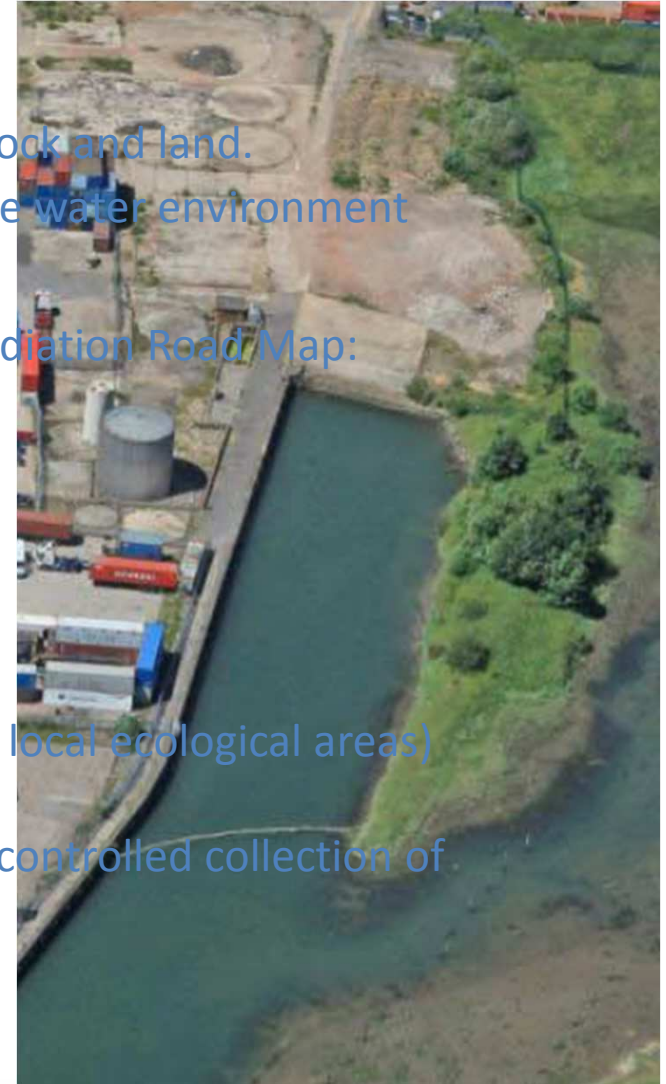
### Solution

Approach based on principles of NICOLE Sustainable Remediation Road Map:

- Involve relevant stakeholders
- Define purpose
- Discuss sustainability elements
- Identify remedial options

### Benefits

- Create enhanced ecological services (incorporated with local ecological areas)
- Enhance water quality for leisure users
- Uniform engineered backfill will allow easier and more controlled collection of contamination





## Conclusion and suggestions

- A science and fact based approach exists that provides a successful counterweight for **the precautionary principle**.
- A crucial element for a proportionate response is strong **stakeholder engagement** in a process that encompasses social, environmental and economic elements.
- This **holistic** combination of a proportionate approach within a precautionary, risk-based context is a key element to create certainty on how a new chemical or a newly identified exposure pathway may be dealt with.



# THANK YOU

Disclaimer

This presentation does not necessarily reflect the opinion of all members of NICOLE.